

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): STEPHENS, Garland Serial No.: 09/655,929 Date Filed: 09-06-2000 For: METHOD AND SYSTEM FOR DETERMINING, CONTRACTING TO EXCHANGE, AND ACCOUNTING FOR MATCHED SETS OF OFFSETTING CASH FLOWS	§ Attorney Docket § Number: 8224.003.NPUS00 § Art Unit: 3628 § Conf. No.: 8518 § Examiner: NGUYEN, NGA B § (571)272-6796 § § § §
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Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

**REQUEST FOR WITHDRAWAL OF HOLDING OF ABANDONMENT
 AND ALTERNATIVELY,
 PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED
 UNINTENTIONALLY UNDER 37 CFR 1.137(B)**

BACKGROUND:

The present application was noticed for abandonment on February 10, 2006 for failure to respond to the Office Action dated December 30, 2003. That notice was not received by applicant-inventor, Garland Stephens.

REQUEST FOR WITHDRAWAL OF HOLDING OF ABANDONMENT:

Response to the Office Action dated December 30, 2003 was dated and mailed April 2, 2004 by applicant-inventor including a request for a two month extension of time, together with a completed credit card authorization form, extending the period for response upto, and including, April 10, 2004, (see Exhibit A).¹ The Response was received by the Office and the extension was charged on or before April 7, 2004; the authorized charge was processed by American Express on April 7, 2004 (see Exhibit B).

¹ A declaration by applicant-inventor is included herewith as Appendix A, supporting the included facts and documents.

On May 14, 2005 a Change of Correspondence Address was mailed to the Office by applicant-inventor, together with a Status Request explaining certain history of the case (see Exhibit C). Included therein, a previously-occurring phone call from the Examiner to applicant-inventor was described in which the Examiner first stated that the Response to the Restriction Requirement of December 30, 2003 was not received by the Office, but then during the call the Examiner located the Response. Since that time, applicant-inventor has been awaiting the next Action at the changed address. Further, in April and June of 2006, Information Disclosure Statements were respectively filed by applicant-inventor in the case.

In July 2007, the undersigned representative was engaged to represent the applicant-inventor on the present case and a review of the file history has revealed the present abandoned status. Responsively, this Request/Petition has been prepared and is submitted, together with the included information and documentation that has been collected.

In view of the above, it is respectfully requested that the holding of abandonment be withdrawn and the case forwarded for substantive examination.

ALTERNATIVELY, PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)

In the event that the Office determines that the requirements for withdrawal of the holding of abandonment have not been fully met, it is respectfully requested that the included Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (see Exhibit D) be considered and granted. To that end, the Office is authorized to charge the fee of \$750.00 from the undersigned's deposit account, 14-1437 according to the included Fee Transmittal (see Exhibit E).

The undersigned representative authorizes the Commissioner to charge any additional fees under 37 C.F.R. 1.16 or 1.17 that may be required, or credit any overpayment, to Deposit Account No. 14-1437, referencing Attorney Docket No.: 8224.003.NPUS00.

In order to facilitate the resolution of any issues or questions presented by this paper, the Office may directly contact the undersigned by phone to further the discussion.

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "Tracy Druce", written in a cursive style.

Tracy W. Druce, Esq.
Reg. No. 35,493